



15866 U.S. PTO

PTO/SB/05 (01-04)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

First Inventor

Title

Express Mail Label No.

Phillip Sollami

Streamlining Bit Assemblies

ET688600754us

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 26]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
5. Oath or Declaration [Total Sheets \_\_\_\_\_]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for ~~continuation~~ divisional with Box 18 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ Paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of Power of Attorney (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation

☒ Divisional

☐ Continuation-in-part (CIP)

of prior application No. 09/825,601

Prior application information:

Examiner

SUNIL SINGH

Art Unit:

3673

For CONTINUATION OR DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS



Customer Number:

26449

OR ☐ Correspondence address below

Name	James N. Videbeck		
Address	Patnaude & Videbeck		
	1 S 376 Summit Avenue, Court C		
City	Oakbrook Terrace	State	IL
Country	USA	Telephone	630-627-4552
		Zip Code	60181
		Fax	
Name (Print/Type)	James N. Videbeck	Registration No. (Attorney/Agent)	27241
Signature	<u>James N. Videbeck</u>	Date	2/2/04

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

031356 U.S. PTO  
10/770232



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF EXPRESS MAILING UNDER 37 CFR 1.10

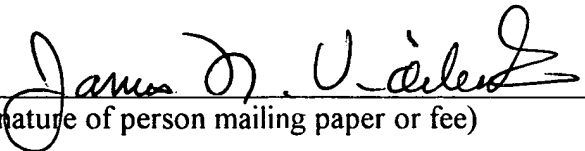
Express Mailing No. ET68860075us

Date of Deposit: February 2, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, P.O. Box 1450, Alexandria VA 22313-1450.

James N. Videbeck

(Typed or printed name of person mailing paper or fee)



(Signature of person mailing paper or fee)

The Commissioner of Patents and Trademarks  
P.O. Box 1450  
Alexandria VA 22313-1450

Sir:

Transmitted herewith for filing is the patent application of

Inventor: Phillip Sollami

For: Improvements in Streamlining Bit Assemblies for Road Milling, Mining and Trenching Equipment

Enclosed also are:

1. Power of Attorney and Declaration.
2. Five sheets of informal drawings.
3. Preliminary Amendment

CLAIMS AS FILED

FOR	NO. FILED	NO. EXTRA	RATE	BASE FEE	\$385
Total Claims	11	- 20 =		x \$9.00	=
Independent Claims	4	- 3 =	1	x \$43.00	=
					TOTAL \$428.00

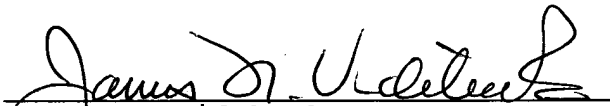
A check in the amount of \$428.00 is enclosed. If any additional charges or fees must be paid in connection with this communication, they may be paid out of our deposit account No. 06-0775. The applicant is a small entity.

The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposition Account 06-0775: Any patent application processing fees under 37 CFR 1.17 and any filing fees under 37 CFR 1.16 for presentation of extra claims.

Please address all communications to James N. Videbeck, Patnaude & Videbeck, 1 S 376 Summit Avenue, Court C, Oakbrook Terrace, IL 60181 (630) 627-4552.

Respectfully submitted,

By:

  
James N. Videbeck  
Reg. No. 27,241  
Attorney for Applicant(s)  
Customer No. 26449

PATNAUDE & VIDEBECK  
Terrace Executive Center  
Court C  
1 S 376 Summit Avenue  
Oakbrook Terrace, IL 60181  
(630) 627-4552